



Section #6

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
)  
Colin Rust ) BOX: MISSING PARTS  
)  
Serial No.: 09/711,124 ) Group Art Unit: 2161  
)  
Filed: November 10, 2000 ) Examiner: TBA  
)  
For: METHODS AND SYSTEMS FOR )  
ANALYZING AND PREDICTING ) Atty. Dkt. No. 05250.00002  
MARKET WINNERS AND LOSERS )

**RESUBMISSION OF THE DECLARATION TO SATISFY  
THE NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice of Incomplete Reply (Nonprovisional) dated May 2, 2001, Applicants resubmit herewith the executed Declaration. The period for responding to the Notice to File Missing Parts of Nonprovisional Application expired on April 2, 2001, and thus the two-month extension fee has been added, extending the period to June 2, 2001.

Surcharge – Late Filing Fee of Declaration	\$130.00
Two-month Extension of Time Fee	\$280.00
Total Fees	\$410.00

It is believed that all Patent and Trademark Office requirements have now been fully met and it is respectfully requested that the above-identified patent application be forwarded for examination.

05/18/2001 MGE BREM1 00000030 190733 09711124  
Sale Ref: 00000070 DARI 190733 1009711124  
01 FC:105 130.00 CH  
02 FC:116 390.00 CH


05/21/2001 MGE BREM1 00000066 190733 09711124  
01 FC:116 280.00 CH

Adjustment date: 05/21/2001 MGE BREM1 00000070 190733 09711124  
05/18/2001 MGE BREM1 00000070 190733 09711124  
:116 390.00 CR

Please charge any additional fee which may be associated with the filing of this paper to our Deposit Account No. 19-0733.

Respectfully submitted,

By:

  
Pamela I. Banner  
Registration No. 33,644

B # 38,800

BANNER & WITCOFF, LTD.  
1001 G Street, N.W., 11<sup>th</sup> Floor  
Washington, D.C. 20001  
(202) 508-9188

Dated: May 17, 2001

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number 09/711,124  
Filing Date November 10, 2000  
First Named Inventor Colin Rust  
Examiner Name Unassigned  
Group / Art Unit 2161  
Attorney Docket No. 05250.00002

TOTAL AMOUNT OF PAYMENT (\$ ) 410

## METHOD OF PAYMENT (check one)

☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

19-0733

Deposit Account Name

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$ ) 0

### 2. EXTRA CLAIM FEES

Total Claims  -20\*\* =  Extra Claims X  Fee from below =  Fee Paid

Independent Claims  -3\*\* =  X  =

Multiple Dependent X  =

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	280
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ ) 410

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Pamela L. Banner	Registration No. Attorney/Agent)	33,644	Telephone	202-508-9100
Signature	[Signature]			Date	5/17/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/711,124	11/10/2000	Murali Ramaswami	05250.00002

**RECEIVED**

CONFIRMATION NO. 6436

FORMALITIES LETTER



\*OC000000006031364\*

Pamela I Banner  
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1001 G Street NW  
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MAY 07 2001

BANNER &amp; WITCOFF LTD.

Date Mailed: 05/02/2001

**NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

04/27/2001 MBERHE 00000047 09711124

02 FC:105 130.00 CH

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 04/25/2001 to the Notice to File Missing Parts (Notice) mailed 02/02/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

Adjustment date: 06/08/2001 TV0111  
05/18/2001 MBERHE 00000070 190733 09711124  
01 FC:105 130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/18/2001 MBERHE 00000070 190733 09711124

01 FC:105 130.00 CH

05250.00002

**DOCKETED**

MAY - 7 2001

ZX Resp Due 02882001

last day 02882001